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18:00 From-Pillsbury Vinthrop LLP Supplemental Amendment dated: Sept. 21, 2005

Customer No.: 00909

Application Serial No.: 09/524,253

Attorney Docket No. 089070-0311364 (23449-009) In Response to Office Action mailed December 22, 2004

This listing of claims will replace all prior versions and listings of claims in the Application.

## **LISTING OF CLAIMS:**

1-63

1-26. (cancelled)

(Currently Amended) A computer-implemented system, including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to for viewing the historical data; wherein at least some of the plurality of sources comprise one or more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected analysts sources, a time series of earnings estimates for each analyst selected source, for a predetermined period, for a predetermined earnings event, and the actual reported earnings for the predetermined earnings event; and

at least one graphical user interface object for selecting at least sources and an earnings

event enabling a user to make one or more selections regarding the display of historical data.

(Currently Amended) The computer-implemented system user interface of claim 27, wherein the time series for each enelyst selected source graphically depicts an initial estimate made by that enalyst source for the predetermined earnings event, any revisions to the initial estimate and when such revisions, if any, were made.

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PAGE 3/16 \* RCVD AT 9/21/2005 4:01:47 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-6/32 \* DHIS:2736751 \* CSID:703 905 2500 \* DURATION (mm-ss):03-68

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66 (Currently Amended) The computer-implemented system user interface of claim 27, 29. wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.

67 <del>3</del>0. (Currently Amended) The computer-implemented system user interface of claim 27, further comprising wherein the historical view module comprises means for enabling a user to view displaying historical data as of a for a user-selected date "As of Date", and furthercomprising a for simultaneously displaying of summary estimate data as of that date the "As of Date"; and a means to select it for graphically selecting the "As of Date" by dragging a vertical line to the date desired.

(Currently Amended) The computer-implemented system user interface of claim 30, wherein the summary estimate data comprises data derived from a distribution of estimates.

69 32. (Currently Amended) The computer-implemented system user interface of claim 31, wherein the summary estimate data comprises an enhanced composite estimate on an "As Of Date."

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- 33. (Currently Amended) The computer-implemented system user interface of claim 27, wherein a time discontinuity in a prediction is graphically displayed via an indicator.
- 34. (Currently Amended) The computer-implemented system user-interface of claim 33, wherein the indicator is a gray line.
- 35. (Currently Amended) A computer-implemented system including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to view for viewing the historical data; wherein at least some of the plurality of sources comprise one or more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected analysts sources, a time series of earnings estimates for each analyst selected source, for a predetermined period, for a predetermined earnings event; and

wherein the historical view module comprises <u>selection</u> means for enabling a user to view simultaneously, for one or more selected <u>selecting: i)</u> sources; a time series of samings estimates for each source selected, for <u>ii)</u> a user specified period; for <u>and iii)</u> a user-specified earnings event; and further comprising a display box for displaying a list of sources for which historical data is stored and an indicator of whether the source is selected for display, each source having a unique identifier associated with it, and wherein the unique identifier is associated with the time series display for that source <u>send</u>

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at least one graphical-user-interface object for enabling a user to make one or moreselections regarding the display of historical data.

36. (Currently Amended) A computer-implemented system, including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to view for viewing the historical dara; wherein at least some of the plurality of sources comprise one or more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected enalysts sources a time series of earnings estimates for each analyst selected source, for a predetermined period, for a predetermined earnings event;

wherein the historical view module enables a user to view simultaneously, for one or more selected sources, a time series of earnings estimates for each source selected, for a predetermined earnings event, and further comprising comprises a display box for displaying a list of sources for which historical data is stored and an indicator of whether a source is selected for display, the sources comprising: i) one or more security analysts, and ii) derived time series information including one or more of a high estimate, a low estimate, a mean estimate, and a predetermined number of standard deviations away from the mean estimate; and

at least one graphical user interface object for enabling a user to make one or more selections regarding the display of historical data selecting at least sources and earnings events.

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(Currently Amended) A computer-implemented system, including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to view for viewing the historical data; wherein at least some of the plurality of sources comprise one-or-more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected enalysts sources, a time series of earnings estimates for each analyst selected source, for a predetermined period, for a predetermined earnings event;

wherein the historical view module enables a user to view simultaneously, for one or more selected sources, a time series of earnings estimates for each source selected, for a predetermined period, for a predetermined earnings event, wherein the time series comprises a plurality of selectable nodes, data points or data markers, the selection of which causes a display of data associated with that node, data point or data marker, the data including one or more of the source and the value of the estimate corresponding to that node, data point or data marker, and

at least one graphical user interface object for enabling a user to make one or more selections regarding the display of historical data selecting at least sources and earnings events.

(Currently Amended) A computer-implemented system including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

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a historical view module to enable users to view for viewing the historical data; wherein at least some of the plurality of sources comprise ene or more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected analysis sources. a time series of earnings estimates for each analyst selected source, for a predetermined period, for a predetermined earnings event, wherein the time series for each analyst source graphically depicts an initial estimate made by that analyst for the predetermined earnings event, any revisions to the initial estimate, and when such revisions, if any, were made; and

means for enabling a user to selecting sources for which analysis whose estimates are to be displayed, including means to enable a user to individually select or deselect individual analysts sources, and an option to select or deselect all analysts sources,

76 (Currently Amended) The computer-implemented user interface of claim 38, wherein <del>39</del>. the means for enabling a user to selecting sources analysts comprises a display box adjacent a time series display, for displaying a list of sources for which historical data is stored and an indicator of whether the source is selected for display.

(Currently Amended) The computer-implemented user interface of claim 38, wherein further commising means, responsive to selection of a source for selecting an analyst highlightings a <u>displayed time series</u> line correlated to for the selected <del>analyst in the time caries</del>. earnings view source.

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41. (Currently Amended) The computer-implemented user interface of claim 38, further comprising a performance module means for displaying selected performance determining a plurality of metrics for selected sources.

(Currently Amended) A computer-implemented system, including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to view for viewing the historical data; wherein at least some of the plurality of sources comprise one or more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected enalysts sources, a time series of earnings estimates for each enalyst selected source, for a predetermined period, for a predetermined earnings event, wherein the time series for each enalyst source graphically depicts an initial estimate made by that enalyst source for the predetermined earnings event, any revisions to the initial estimate, and when such revisions, if any, were made;

wherein a grid shows means for displaying detail data on an analyst by analyst basis as of a selected date; and

at least one graphical user interface object for enabling a user to make one or moreselections regarding the display of historical data selecting at least a source and an earnings event.

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43: (Currently Amended) A computer-implemented system, including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to view for viewing the historical data; wherein at least some of the plurality of sources comprise one or more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected analysts sources, a time series of earnings estimates for each analyst selected source, for a predetermined period, for a predetermined earnings event and the actual reported earnings for the predetermined earnings event, and a summary of data for an "As Of Date"; and

wherein the time series for each <u>selected</u> analyst graphically depicts an initial estimate made by <u>for</u> that analyst <u>source</u> for the predetermined earnings event, any revisions to the initial estimate, and when such revisions, if any, were made; and

at least one graphical user interface object for enabling a user to make one or more soloctions regarding the display of historical data selecting sources and earnings events.

(Currently Amended) A computer-implemented system, including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to view for viewing the historical data; wherein at least some of the plurality of sources comprise one or more security analysts and the

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predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more selected enalysts sources, a time series of earnings estimates for each analyst selected source, for a predetermined period, for a predetermined earnings event, wherein the time series for each selected analyst graphically depicts an initial estimate made by for that analyst source for the predetermined earnings event, any revisions to the initial estimate and when such revisions, if any, were made;

wherein the historical view module enables a user to-toggle comprises means for toggling between a time series estimate view and detailed data in a grid view; and

at least one graphical user interface object for enabling a user to make one or moreselections regarding the display of historical data selecting at least sources and earnings events.

- 45. (Currently Amended) The computer-implemented user interface of claim 44, wherein the historical view module simultaneously displays summary information is rendered with the detailed data.
- 46. (Previously Presented) The computer-implemented user interface of claim 44, wherein the detailed data comprises analyst name, security identification, current estimate information, and previous estimate information.
- 47. (Currently Amended) The computer-implemented user interface of claim 44, wherein the detailed data comprises at least date of estimate, and age of estimate.

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AB. (Currently Amended) The computer-implemented user interface of claim 44, wherein the historical view module enables a user to displays simultaneously display in the grid view, historical analyst performance including historical accuracy, analyst distinctions, and how long the an analyst has been following a security.

A9. (Currently Amended) The computer-implemented user interface of claim 44, wherein awalue the historical view module displays a price view of the security is selectively rendered with
the estimate view.

(Currently Amended) The computer-implemented user interface of claim 49, further commissing means for zooming or panning, wherein zooming or panning of the estimate view correspondingly zooms or pans the value price view, and zooming or panning of the value price view correspondingly zooms or pans the estimate view.

St. (Currently Amended) A computer-implemented system, including a user interface for use with a database of historical data relating to predictions from a plurality of sources relating to securities, the user interface comprising:

a historical view module to enable users to view for viewing the historical data; wherein at least some of the plurality of sources comprise one or more security analysts and the predictions comprise security analysts' earnings estimates, and wherein the historical view module enables a user to view displays simultaneously, for one or more analysts selected sources, current earnings estimates for each analyst selected source, for a predetermined period, for a

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predetermined earnings event and an indication of the historical accuracy of earnings estimates for each of the analysts selected sources; and

at least one graphical user interface object for enabling a user to make one or moreselections regarding the display of historical data selecting at least sources and earnings events.

(New) The computer-implemented system of claim 35, wherein the time series for each selected source graphically depicts an initial estimate made by that source for the predetermined earnings event, any revisions to the initial estimate and when such revisions, if any, were made.

90 *5*3. (New) The computer-implemented system of claim 36, wherein the time series for each selected source graphically depicts an initial estimate made by that source for the predetermined earnings event, any revisions to the initial estimate and when such revisions, if any, were made.

(New) The computer-implemented system of claim 37, wherein the time series for each selected source graphically depicts an initial estimate made by that source for the predetermined earnings event, any revisions to the initial estimate and when such revisions, if any, were made.

(New) The computer-implemented system of claim 35, wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.

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(New) The computer-implemented system of claim 36, wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.

(New) The computer-implemented system of claim 37, wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.

95 -58. (New) The computer-implemented system of claim 38, wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.

(New) The computer-implemented system of claim 42, wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.

(New) The computer-implemented system of claim 43, wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price

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of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.

68. (New) The computer-implemented system of claim 51, wherein an earnings event relates to a selected security, and wherein the display further comprises a time series display of the price of the selected security displayed in juxtaposition with the time series of earning estimates for the selected security.